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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Title

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 8]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Sheets 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation

☐ Divisional

☐ Continuation-in-part (CIP)

of prior application No.: .....

Prior application information:

Examiner: .....

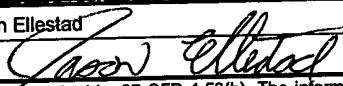
Art Unit: .....

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number: .....

 OR ☒ Correspondence address below

Name	Jason Ellestad				
Address	9243 Chester Park Drive				
City	Houston	State	Texas	Zip Code	77064-3815
Country	United States	Telephone	713-934-8686	Fax	713-934-7272
Name (Print/Type)	Jason Ellestad	Registration No. (Attorney/Agent)			
Signature		Date	9-26-03		

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

31109 U.S. PAT. 10/675124



10/01/03

Approved for use through 07/31/2006. OMB 0651-0032  
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*Effective 01/01/2003. Patent fees are subject to annual revision.*

☒ Applicant claims small entity status. See 37 CFR 1.27

<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b> 1050.00
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**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Jason Ellestad & Mark Peoples
Examiner Name	
Art Unit	
Attorney Docket No.	

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

**The Director is authorized to: (check all that apply)**

☒ Charge fee(s) indicated below      ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

### 1. BASIC FILING FEE

	Large Entity	Small Entity
1. <b>Revenue Recognition</b>	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. <b>Cost of Sales</b>	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.
3. <b>Impairment of Intangible Assets</b>	Intangible assets are tested for impairment annually, or more frequently if there are indicators of impairment. If an impairment loss is identified, it is recognized in the income statement.	Intangible assets are tested for impairment annually, or more frequently if there are indicators of impairment. If an impairment loss is identified, it is recognized in the income statement.
4. <b>Goodwill Impairment</b>	Goodwill is tested for impairment annually, or more frequently if there are indicators of impairment. If an impairment loss is identified, it is recognized in the income statement.	Goodwill is tested for impairment annually, or more frequently if there are indicators of impairment. If an impairment loss is identified, it is recognized in the income statement.
5. <b>Lease Accounting</b>	Leases are classified as either finance leases or operating leases. Finance leases are accounted for as if the lessee has purchased the asset, while operating leases are accounted for as if the lessee is renting the asset.	Leases are classified as either finance leases or operating leases. Finance leases are accounted for as if the lessee has purchased the asset, while operating leases are accounted for as if the lessee is renting the asset.
6. <b>Share-Based Compensation</b>	Share-based compensation is measured at the fair value of the equity instrument at the grant date, and it is recognized as an expense over the vesting period.	Share-based compensation is measured at the fair value of the equity instrument at the grant date, and it is recognized as an expense over the vesting period.
7. <b>Financial Instruments</b>	Financial instruments are classified as either debt or equity, and they are measured at fair value. Changes in fair value are recognized in the income statement.	Financial instruments are classified as either debt or equity, and they are measured at fair value. Changes in fair value are recognized in the income statement.
8. <b>Derivatives</b>	Derivatives are classified as either cash flow hedges or fair value hedges, and they are measured at fair value. Changes in fair value are recognized in the income statement.	Derivatives are classified as either cash flow hedges or fair value hedges, and they are measured at fair value. Changes in fair value are recognized in the income statement.
9. <b>Provisions and Contingencies</b>	Provisions and contingencies are recognized when there is a liability or asset that is probable and can be measured reliably. They are measured at the best estimate of the amount required to settle the liability or the amount that can be received from the contingency.	Provisions and contingencies are recognized when there is a liability or asset that is probable and can be measured reliably. They are measured at the best estimate of the amount required to settle the liability or the amount that can be received from the contingency.
10. <b>Income Taxes</b>	Income taxes are recognized as a liability or asset, depending on whether the company has a deferred tax liability or a deferred tax asset. They are measured at the best estimate of the amount required to settle the liability or the amount that can be received from the contingency.	Income taxes are recognized as a liability or asset, depending on whether the company has a deferred tax liability or a deferred tax asset. They are measured at the best estimate of the amount required to settle the liability or the amount that can be received from the contingency.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	385.00
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

<b>SUBTOTAL (1)</b>	<b>(\$)</b> 385.00
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## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below	Fee Paid
Total Claims	5	-20** =	0		
Independent Claims					
Multiple Dependent		- 3** =			

	Large Entity	Small Entity
1. <b>Revenue Recognition</b>	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. <b>Expense Recognition</b>	Expenses are recognized when the related asset is consumed or the liability is incurred.	Expenses are recognized when the related asset is consumed or the liability is incurred.
3. <b>Asset Recognition</b>	Assets are recognized when the company has control over a resource that is expected to provide future economic benefits.	Assets are recognized when the company has control over a resource that is expected to provide future economic benefits.
4. <b>Liability Recognition</b>	Liabilities are recognized when the company has a present obligation to transfer an asset or provide a service in the future.	Liabilities are recognized when the company has a present obligation to transfer an asset or provide a service in the future.
5. <b>Equity Recognition</b>	Equity is recognized as the residual interest in the assets of the company after deducting liabilities.	Equity is recognized as the residual interest in the assets of the company after deducting liabilities.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

<b>SUBTOTAL (2)</b>	<b>(\$)</b> 0.00
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**\*\*or number previously paid, if greater. For Reissues, see above**

**FEE CALCULATION** (continued)

### 3. ADDITIONAL FEES

### Large Entity , Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	665.00
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

<b>SUBTOTAL (3)</b>	(\$)	<del>650.00</del> 665.00
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## SUBMITTED BY

Name (Print/Type)	Jason Ellestad
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Signature \_\_\_\_\_

**Registration No.**  
**(Attorney/Agent)**

(Complete (if applicable))

Telephone 713-934-7272

Date \_\_\_\_\_

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b. Included on this form. Provide credit card information and authorization on PTO-2038.

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